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JCS71 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
0869.073Total Pages in this Submission  
410/23/01  
10/23/01  
10/23/01  
10/23/01TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**POLYMERIC FOAM SHEET USING AMBIENT GAS BLOWING AGENT VIA CONTROLLED EXPANSION**

and invented by:

**Walter R. HARFMANN**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.:

Which is a:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.:

Which is a:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 21 pages and including the following:

- a.  Descriptive Title of the Invention
- b.  Cross References to Related Applications (*if applicable*)
- c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
- d.  Reference to Microfiche Appendix (*if applicable*)
- e.  Background of the Invention
- f.  Brief Summary of the Invention
- g.  Brief Description of the Drawings (*if drawings filed*)
- h.  Detailed Description
- i.  Claim(s) as Classified Below
- j.  Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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Docket No.  
0869.073

Total Pages in this Submission  
4

**Application Elements (Continued)**

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)
  - a.  Formal Number of Sheets \_\_\_\_\_
  - b.  Informal Number of Sheets 5
4.  Oath or Declaration
  - a.  Newly executed (*original or copy*)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
  - c.  With Power of Attorney  Without Power of Attorney
  - d.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Computer Program in Microfiche (*Appendix*)
7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
  - a.  Paper Copy
  - b.  Computer Readable Copy (*identical to computer copy*)
  - c.  Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8.  Assignment Papers (*cover sheet & document(s)*)
9.  37 CFR 3.73(B) Statement (*when there is an assignee*)
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Acknowledgment postcard
14.  Certificate of Mailing  
 First Class  Express Mail (*Specify Label No.*): EL 736564060 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

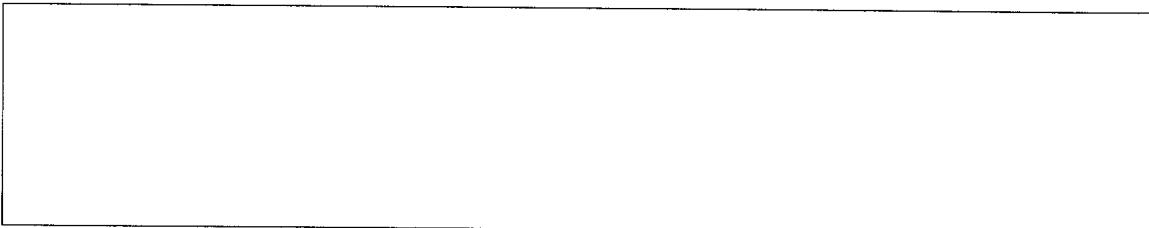
*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
0869.073

Total Pages in this Submission  
4

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Additional Enclosures *(please identify below):*



**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

***Warning***

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
 0869.073

Total Pages in this Submission  
 4

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	43	- 20 =	23	x \$18.00	\$414.00
<b>Indep. Claims</b>	3	- 3 =	0	x \$80.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b>					\$0.00
				<b>BASIC FEE</b>	\$710.00
<b>OTHER FEE (specify purpose)</b>					\$0.00
				<b>TOTAL FILING FEE</b>	\$1,124.00

A check in the amount of **\$1,124.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. **08-1935** as described below. A duplicate copy of this sheet is enclosed.

- Charge the amount of \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

*Wayne F. Reinke*  
 Signature

Wayne F. Reinke, Esq.  
 Registration No. 36,650  
 Customer No.:



Dated: **January 23, 2001**

cc:

**23405**

PATENT TRADEMARK OFFICE

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Applicant: Walter R. Harfmann

Title: POLYMERIC FOAM SHEET USING AMBIENT GAS BLOWING AGENT  
VIA CONTROLLED EXPANSION

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"EXPRESS MAIL" MAILING LABEL NO. EL 736564060 US

Date of Deposit: January 23, 2001

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Rosalind Q. Spiller

(Typed or printed name of person mailing paper or fee)

Rosalind Q. Spiller

(Signature of person mailing paper or fee)

Enclosures:

1. Patent Application Bibliographic Data (1 page);
2. Utility Patent Application Transmittal (Large Entity) (4 pages) (in duplicate);
3. Specification (13 pages), 43 Claims (7 pages), and Abstract (1 page);
4. Declaration and Power of Attorney (3 pages) (signed);
5. Five (5) Sheets of Informal Drawings;
6. Information Disclosure Citation (1 page);
7. Ten (10) References;
8. Recordation Form Cover Sheet (1 page) and Assignment (2 pages);
9. Check in the amount of \$1,164 (\$1,124 Filing Fee and \$40 Recordation Fee); and
10. One (1) Postcard.